

Attorney Docket No. SHO-0038

Please type a plus sign in this box:



PTO/SB/01 (3-97)

Approved for use through 6/30/98. OMB 0651-0032
Patent and Trademark Office; US DEPARTMENT OF COMMERCE

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION	Attorney Docket No.	SHO-0038
	First Named Inventor	Kazuki EMORI
	COMPLETE IF KNOWN	
	Application No.	
	Filing Date	October 31, 2003
	Group Art Unit	
<input checked="" type="checkbox"/> Declaration submitted with or initial filing	<input type="checkbox"/> Declaration submitted after initial filing	Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (only if one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

GAMING MACHINE

(Title of the Invention)

the specification of which

☐ is attached hereto

or

☒ was filed on **October 31, 2003**, as United States Application Number

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119 (a)-(d) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YY)	Priority Not claimed	Certified Copy Attached	
				YES	NO
2002-331775	JAPAN	11/15/02		<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number (s)	Filing Date (MM/DD/YY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

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DECLARATION - Utility Or Design Patent Application

I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the matter provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: ☒ Customer Number 23353

and

☒ Registered practitioner(s) name/registration number listed below

Place Customer
Number Bar Code
Label Here

Name	Registration No.	Name	Registration No.
Richard D. Grauer	22,388	Peter J. Rashid	39,464
David T. Nikaido	22,663	Kevin D. Rutherford	40,412
Ronald P. Kananen	24,104	Glenn E. Forbis	40,610
Ralph T. Rader	28,772	Lee Cheng	40,949
Carl Schaukowitch	29,211	Kristin L. Murphy	41,212
Michael D. Fishman	31,951	Robert S. Green	41,800
Joseph V. Coppola, Sr.	33,373	James F. Kamp	41,882
Michael B. Stewart	36,018	Brian K. Dutton	47,255
Alexander D. Rabinovich	37,425	Shawn B. Cage	51,522

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to ☒ Customer Number **23353** or ☐ Correspondence Address below
or Bar Code Label

Name Rader Fishman & Grauer PLLC

Address 1233 20th Street, N.W., Suite 501

City, State, Zip Washington, D.C. 20036

Country US Telephone 202-955-3750 Fax 202-955-3751

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of First Inventor ☐ A petition has been filed for this unsigned inventor.

Given Name (first and middle [if any]) Family Name or Surname

Kazuki EMORI

Inventor's Signature Dated

Kazuki EMORI

Jan. 7. 2004

Residence: City Tokyo State Country Japan Citizenship Japan

Post Office Address c/o ARUZE Corp., 3-1-25 Ariake, Koto-ku

City Tokyo State Zip 135-0063 Country Japan

☒ Additional inventors are being named on the 1 supplemental additional inventor(s) sheet(s) PTO/SB/02A attached hereto.

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Name of Second Inventor		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
<i>Hideaki</i>				<i>IMURA</i>			
Inventor's Signature		<i>Hideaki IMURA</i>				Dated	
						<i>Jan. 7. 2004</i>	
Residence: City	<i>Tokyo</i>	State		Country	<i>Japan</i>	Citizenship	<i>Japan</i>
Post Office Address		<i>c/o ARUZE Corp., 3-1-25 Ariake, Koto-ku</i>					
City	<i>Tokyo</i>	State		Zip	<i>135-0063</i>	Country	<i>Japan</i>

RECORDATION FORM COVER SHEET

PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Kazuki Emori (01/07/04); Hideaki Imura (01/07/04)

Additional name(s) of conveying party(ies) attached?

☐

Yes

☒

No

3. Nature of Conveyance:

☒

Assignment

☐

Merger

☐

Security Agreement

☐

Change of Name

☐

Other

Execution Date: January 7, 2004

2. Name and address of receiving party(ies)

Name: ARUZE CORP.

Internal Address:

Street Address:

3-1-25 Ariake, Koto-ku,

City: Tokyo

State: JAPAN

Zip: 135-0063

Additional name(s) & address(es) attached:

☐

Yes

☒

No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the new application is:

A. Patent Application No.(s):

10/697,054

B. Patent No.(s):

Additional numbers attached?

☐

Yes

☒

No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: RADER, FISHMAN & GRAUER PLLC

Internal Address: Atty. Dkt.: SHO-0038

Street Address:

1233 20th Street, N.W.

Suite 501

City:

Washington

State:

DC

Zip:

20036

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41)

\$ 40.00

☐

Enclosed

☒

Authorized to be charged to deposit account

☐

Authorized to be charged to credit card

(Form 2038 enclosed)

8. Deposit account number:

18-0013

(Attach duplicate copy of this page if paying by deposit account)

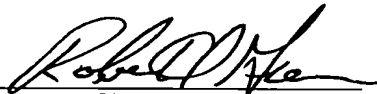
DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert S. Green

Name of Person Signing



Signature

May 4, 2004

Date

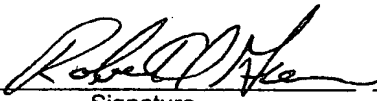
Total number of pages including cover sheet, attachments, and documents: 3

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies): Kazuki Emori (01/07/04); Hideaki Imura (01/07/04) Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 3. Nature of Conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: <u>January 7, 2004</u>	2. Name and address of receiving party(ies) Name: <u>ARUZE CORP.</u> Internal Address: _____ Street Address: <u>3-1-25 Ariake, Koto-ku,</u> City: <u>Tokyo</u> State: <u>JAPAN</u> Zip: <u>135-0063</u> Additional name(s) & address(es) attached: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the new application is: _____ A. Patent Application No.(s): <u>10/697,054</u> B. Patent No.(s): _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>RADER, FISHMAN & GRAUER PLLC</u> Internal Address: <u>Atty. Dkt.: SHO-0038</u> Street Address: <u>1233 20th Street, N.W.</u> <u>Suite 501</u> City: <u>Washington</u> State: <u>DC</u> Zip: <u>20036</u>	6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41) \$ <u>40.00</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Authorized to be charged to credit card (Form 2038 enclosed) 8. Deposit account number: <u>18-0013</u> (Attach duplicate copy of this page if paying by deposit account)
DO NOT USE THIS SPACE	
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> <div style="display: flex; justify-content: space-between; align-items: flex-end;"><div style="text-align: center;"><u>Robert S. Green</u> Name of Person Signing</div><div style="text-align: center;"> Signature</div><div style="text-align: center;"><u>May 4, 2004</u> Date</div></div> Total number of pages including cover sheet, attachments, and documents: <u>3</u>	

ASSIGNMENT

WHEREAS, I, as a below named inventor, residing at the address stated next to my name, am a sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of certain new and useful improvements in

GAMING MACHINE

for which application for Letters Patent of the United States of America was executed by me on the date indicated next to my name and address;

AND WHEREAS, **ARUZE Corp.**, a Japanese corporation with offices at **3-1-25 Ariake, Koto-ku, Tokyo 135-0063 JAPAN**, (hereinafter referenced as ASSIGNEES) is desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of Ten Dollar (\$10.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, as a sole or joint inventor as indicated below, by these presents do hereby assign, sell and transfer unto the said ASSIGNEES, its successors, assigns, and legal representatives, the entire right, title and interest in the said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue the said United States Letters Patent to said ASSIGNEES, as the assignee of the whole right, title and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEES or its designee, as ASSIGNEES or its successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid invention, as the ASSIGNEE thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEES will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

And I hereby authorize and request my attorney(s) of record in this application to insert the serial number and filing date of this application in the spaces that follow:

Serial Number: **10/697,054** , Filing Date: **October 31, 2003.**

This assignment executed on the dates indicated below.

Kazuki EMORI	<u>Kazuki EMORI</u>	<u>Jan. 7. 2004</u>
	Signature	Date

Hideaki IMURA	<u>Hideaki IMURA</u>	<u>Jan. 7. 2004</u>
	Signature	Date

	Signature	Date
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	Signature	Date
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	Signature	Date
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